



OFFICE OF  
THE SECRETARY

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

March 7, 2011

Manuel P. Asensio  
747 Third Avenue, 25<sup>th</sup> Floor  
New York, NY 10017

RE: Order Approving a Proposed Rule Change to Adopt FINRA Rule 1113 (Restriction Pertaining to New Member Applications) and to Amend the FINRA Rule 9520 Series (Eligibility Proceedings), File No. SR-FINRA-2010-056, February 18, 2011

Dear Mr. Asensio:

I am writing to acknowledge receipt on March 4, 2011 of your petition for review of the order referenced above.

I previously acknowledged our receipt on February 25, 2011 of your Notice of Intention to Petition for Review of this order. As provided in Rule 431(e) of the Commission's Rules of Practice, the February 18 order has been automatically stayed.

You will be advised promptly of any action taken by the Commission.

Sincerely,

A handwritten signature in black ink, appearing to read "Cathy H. Ahn".

Cathy H. Ahn  
Deputy Secretary

Cc: Marc Menchel, Esq., FINRA